

ITEM NO: 12

DECISION-MAKER:	AUDIT COMMITTEE		
SUBJECT:	AUDIT COMMISSION: AUDIT AND INSPECTION PLAN PROGRESS REPORT		
DATE OF DECISION:	19 TH March 2009		
REPORT OF:	CHIEF INTERNAL AUDITOR (Acting)		
AUTHOR:	Name:	Neil Pitman	Tel: 023 80 834616
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STATEMENT OF CONFIDENTIALITY			
NOT APPLICABLE			

SUMMARY

The 2008-09 Audit and Inspection Plan was presented to the Audit Committee 27th March 2008. The Plan sets out the audit and inspection work that the Audit Commission, as the Council's appointed external auditors, propose to undertake for the 2007-08 financial year, based on the Audit Commission's risk-based approach to audit planning and the requirements of Comprehensive Performance Assessment (CPA).

An update on progress against the Plan, together with reports issued, is attached as appendices to this report.

RECOMMENDATIONS:

- (i) That the Audit Committee notes the Audit Commissions reports as attached.

REASONS FOR REPORT RECOMMENDATIONS

1. The Audit Committee's Terms of Reference require it to be satisfied and provide assurance to the Standards and Governance Committee that appropriate action is being taken on risk and internal control related issues identified by the external auditors. Specifically, the Committee has responsibility for oversight of the reports of external audit.

CONSULTATION

2. The reports, as attached, have been discussed and agreed with the appropriate officers.

ALTERNATIVE OPTIONS CONSIDERED AND REJECTED

3. None

DETAIL

4. The following Audit Commission report is attached for consideration in the appendix:

- Progress Report – March 2009
- Use of Resources – March 2009
- Data Quality – March 2009

The external auditor will be in attendance at the Committee meeting to

answer any questions.

FINANCIAL/RESOURCE IMPLICATIONS

Capital

5. None

Revenue

6. None

Property

7. None

Other

8. None

LEGAL IMPLICATIONS

Statutory power to undertake proposals in the report:

9. The duties and powers of auditors appointed by the Audit Commission are set out in the Local Government Act 1999.

Other Legal Implications:

10. None

POLICY FRAMEWORK IMPLICATIONS

11. None

SUPPORTING DOCUMENTATION

Appendices

1.	Audit Commission: Progress report – February 2009
2.	Use of Resources – March 2009
3.	Data Quality – March 2009

Documents In Members' Rooms

1.	
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Background Documents

Title of Background Paper(s)

Relevant Paragraph of the
Access to Information
Procedure Rules / Schedule
12A allowing document to be
Exempt/Confidential (if
applicable)

1.		
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Background documents available for inspection Internal Audit Office, North Block
Basement, Civic Centre

E-mail: Neil.pitman@southampton.gov.uk

FORWARD PLAN No: N/a

KEY DECISIONN/a

WARDS/COMMUNITIES AFFECTED: N/a

Report Tracking

VERSION NUMBER:

1.0

DATE LAST AMENDED:

6 th March 2009

AMENDED BY:

Neil Pitman
